

**New York City
Campaign Finance Board**

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July 27, 2007

Milton E. Wilson
New Yorkers for Fields

Dear Mr. Wilson:

Attached please find the New York City Campaign Finance Board's (the "Board") final audit report for the 2005 election campaign of C. Virginia Fields (the "Campaign"). This report is based on a comprehensive review of the Campaign's financial disclosure statements and submitted documentation. As noted in the Conclusions section of the attached report, the Campaign failed to adhere fully to certain Campaign Finance Program requirements.

Because the Campaign has not reported extinguishing all liabilities from the 2005 election, all other Program requirements, including contribution limits, remain in effect for activity related to that election. Please be advised that the Board may in the future require the Campaign to submit relevant information and proof of ongoing compliance with the 2005 election requirements. *See* Campaign Finance Board Rules 3-02(b) and 4-01(a).

As a result of actions taken by the Board, \$70,567 in penalties have been assessed against the Campaign which are delineated in the report and attached Board Determination letter. In addition, Board staff has determined that the Campaign must repay \$337,340 in public funds to the Board based on an overpayment of public funds (see Finding #15). Checks should be made payable to the "New York City Election

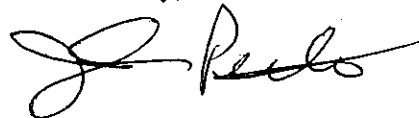
July 27, 2007

Campaign Finance Fund." If the Board is not in receipt of the full \$407,907 by **August 27, 2006**, C. Virginia Fields's name and the amount of unpaid penalties and public funds not returned will be posted on the Board's Web site. The Board may also initiate a civil action to compel payment. In addition, the candidate and any principal committee she authorizes will not be eligible to receive public funds for a future election until the full amount is paid.

If you have any questions regarding filing disclosure statements for future elections, please call the Candidate Services Unit at 212-306-7100. If you believe you are eligible to submit a Board Rule 5-02(a) petition regarding your public funds repayment obligation, please call the Legal Unit at 212-306-7100. The Board's Rules can be found on its Web site www.nyccfb.info.

The Board and its staff would like to thank you and the Campaign staff for your cooperation during the 2005 election cycle. Should you have any questions about the enclosed report, please call me at 212-306-7123.

Sincerely,

A handwritten signature in black ink, appearing to read 'Julius Peele', with a stylized, cursive script.

Julius Peele

Director of Auditing and Accounting

Attachments

c: C. Virginia Fields

**CAMPAIGN FINANCE BOARD
FINAL AUDIT REPORT OF
NEW YORKERS FOR FIELDS**

BACKGROUND

The Campaign Finance Program (the “Program”) was designed to limit the role and influence of private money in the political process. Candidates who voluntarily participate in the Program can qualify to have private contributions matched with public money. In exchange, the participants agree to full disclosure of their campaign finances, as well as to strict contribution and spending limits.

In December 2004, the City Council passed legislation expanding the jurisdiction of the Board to all candidates running for Mayor, Public Advocate, Comptroller, Borough President and City Council. Program participants and non-participants alike are required to disclose all campaign activity to the Board. In addition, all candidates must adhere to the contribution limits and the ban on corporate contributions. Non-participants are not eligible to receive public funds and are not subject to the expenditure limits.

The table below provides detailed information about the candidate:

Name: C. Virginia Fields	ID: B6
Office Sought: Mayor	
Class: Participant	
Certification Date: May 31, 2005	Contribution Limit: \$4,950
Public Funds Received: \$1,459,636	Expenditure Limit:
Public Funds Returned: \$0	2002-2003: \$90,000
5 for 1 Matching:	2004: \$180,000
Primary: No	2005 Primary: \$5,728,000
General: N/A	2005 General: N/A
Committee Name: New Yorkers for Fields	Party: Democratic
Other Committees: No	Ballot Status: Primary

REVIEW SCOPE AND OBJECTIVES

Board staff performed an audit of the financial disclosure statements and books and records submitted by the Campaign. The audit covered the information disclosed in the Campaign's financial disclosure statements three through seventeen covering the period from January 12, 2003 through January 11, 2006. The audit also included a review of the Campaign's bank statements covering the period May 28, 2002 through July 21, 2006.

The objectives of the Board's audit were to ensure that (1) the contribution and expenditure limits and prohibitions were adhered to; (2) financial transactions were accurately reported and documented; (3) adequate books and records were maintained by the Campaign to demonstrate compliance with the Campaign Finance Act and Campaign Finance Board Rules; and (4) the correct amount of public funds was received by the Campaign and was disbursed in accordance with the Act's requirements.

CONCLUSIONS

The Board staff's review revealed that the functions carried out by the Campaign were properly documented and that the applicable rules were followed with the exception of the following items:

- The Campaign's unspent campaign funds calculation indicates that the Campaign must return excess public funds received for the elections held in 2005 (see Finding #1).
- The Campaign has outstanding debt which violates the contribution limit (see Finding #2). The Board assessed \$14,400 in penalties for these violations.
- The Campaign did not properly disclose or adequately document in-kind contributions received (see Finding #3). The Board assessed \$750 in penalties for these violations.
- The Campaign failed to file, by the due dates, financial disclosure statements required by the Board (see Finding #4). The Board assessed \$800 in penalties for these violations.
- The Campaign did not disclose payments made by its vendors to subcontractors (see Finding #5). The Board assessed \$750 in penalties for these violations.
- The Campaign did not demonstrate that expenditures were campaign-related (see Finding #6).
- The Campaign did not demonstrate that post-election expenditures were permissible and election-related (see Finding #7). The Board assessed a \$5,727 penalty for these violations.
- The Campaign failed to report or inaccurately reported financial transactions to the Board (see Finding #8). The Board assessed \$1,050 in penalties for these violations.
- The Campaign maintained a petty cash fund greater than \$500 (see Finding #9). The Board assessed \$250 in penalties for these violations.
- The Campaign did not file the required daily disclosure statements during the two weeks preceding the 2005 primary election (see Finding #10). The Board assessed a \$250 penalty for this violation.
- The Campaign did not provide requested documentation for transactions reported (see Finding #11). The Board assessed \$250 in penalties for these violations.

- The Campaign failed to provide specific requested information regarding family members (see Finding #12). The Board assessed a \$50 penalty for this violation.
- The Campaign did not report all advances correctly (see Finding #13).
- The Campaign failed to provide an adequate intermediary contribution affirmation statement for contributions received through an intermediary (see Finding #14). The Board assessed a \$200 penalty for this violation.
- The Campaign claimed and received public matching funds for contributions deemed invalid for matching funds resulting in an overpayment of public funds (see Finding #15).
- The Campaign failed to respond timely to the Board's request for documentation and information in the draft audit report (see Finding #16). The Board assessed a \$43,800 penalty for this violation.
- The Campaign accepted contributions from ten corporate contributors (see Exhibit I). On July 20, 2007, the Board assessed \$1,040 in penalties for these violations.
- The Campaign accepted aggregate contributions that exceeded the contribution limit from four contributors (see Exhibit II). On July 20, 2007, the Board assessed \$1,250 in penalties for these violations.

FINDINGS AND RECOMMENDATIONS

1. UNSPENT CAMPAIGN FUNDS

New York City Administrative Code §3-710(2)(c) requires the Campaign to repay to the New York City Election Campaign Finance Fund (the “Fund”) excess monies received by the Campaign for the elections held in 2005. *See* also Rule 5-03(e). Unspent campaign funds are calculated by subtracting all disbursements reported to the Board from all receipts together with any auditing adjustments made by the Board. The calculation shows that as of December 26, 2006, the Campaign must remit \$187,637 to the Fund. However, based on other reviews, the Campaign is nonetheless required to repay \$337,340 to the Fund (see Finding #15). The Campaign is only required to repay the greater amount.

Recommendation #1

The Campaign must repay \$187,637 to the Fund or provide information to demonstrate that this amount is not required to be repaid to the Fund. The Campaign should note that its response to other findings may result in an increase or decrease in the amount of unspent campaign funds that must be repaid to the Board. In addition, if the Campaign has additional financial activity after January 11, 2006, it should provide documentation and explanation of expenditures and copies of all post-January 17, 2006 Board of Elections disclosure statements.

Campaign's Response

The Campaign stated that its bank account has no more money and that its own internal audit revealed entries that were omitted from its disclosure statements to the Board. The Campaign provided documentation for some expenditures. The Campaign also provided a copy of its July 2006 Board of Elections disclosure statement, but provided no documentation for the transactions reported on the Board of Elections statement.

Board's Response

Based on the Campaign's response, it owes \$180,597 in unspent campaign funds (see Appendix #2). However, because of an overpayment of public funds, the Campaign must repay \$337,340 to the Fund (see Finding #15). (The Campaign must repay the greater amount.)

2. CONTRIBUTIONS – Violation of the \$4,950 Limit

Candidates for the office of Mayor and their authorized committees may not accept contributions from any single source in excess of \$4,950 for the entire election cycle. (Participating candidates may contribute to their own campaign up to three times the contribution limit. Non-participating candidates are not limited in the amount they can contribute to their own campaign from their own money.) A single source includes, but is not limited to, any person or entity who or which establishes, maintains, or controls another entity and every entity so established, maintained or controlled. *See* New York City Administrative Code §3-703(1)(f) and Rule 1-04(h). Creditors of candidates who

extend credit beyond 90 days are considered to have made a contribution equal in value to the credit extended, unless the creditor has made a commercially reasonable attempt to collect the debt. Outstanding liabilities that are forgiven or settled for less than the amount owed are also considered contributions. *See* Rule 1-04(g)(4), (5).

The Board staff's review revealed four instances where the Campaign exceeded the \$4,950 contribution limit (see Exhibit III).

Recommendation #2

The Campaign must respond by indicating why it failed to comply with the contribution limit. In addition, the Campaign must refund the over-the-limit portion of the contribution by bank or certified check and provide Board staff with copies of the refund check and communication with the contributor or pay the Public Fund an amount equal to the amount of the overage. If the Campaign disagrees with this finding, it must provide an explanation and documentation to demonstrate that the finding is not a violation. For outstanding liabilities, the documentation could be current invoices, collection notices, and/or letters from creditors showing that the debt remains an outstanding liability and that the creditor intends to collect the debt. Even if the over-the-limit contribution is refunded, exceeding the contribution limit may result in a finding of violation and the assessment of penalties.

Campaign's Response

The Campaign stated that all outstanding liabilities listed on Statement #17 remain outstanding and that it submitted copies of current invoices from the creditors. In addition, the Campaign stated that the \$50 refund check to Paul Selver "seemed to have never been cashed."

Board's Response

The Campaign did not submit current invoices as stated. The Board assessed \$14,400 in penalties for these violations: \$10,000 for Baraff Communications, \$2,800 for Stoll & Glickman, \$1,300 for Statagic Services, Inc., and \$300 for Paul Selver.

3. UNDOCUMENTED OR UNREPORTED IN-KIND CONTRIBUTIONS

Campaigns are required to report to the best of their knowledge all in-kind contributions. *See* New York City Administrative Code §3-703(6) and Rule 3-03. In-kind contributions are goods or services donated to a campaign free of charge or at a special discount not available to others. A volunteer's services are not an in-kind contribution, but services for the campaign which are paid for by a third party are contributions by the third party. *See* Administrative Code §3-702(8) and Rule 1-02. In addition, a debt owed by the campaign which is forgiven must be reported as an in-kind contribution. *See* Rule 1-04(g)(5). The Campaign is required to maintain and provide the Board documentation demonstrating the fair market value of the in-kind contribution. *See* Administrative Code §§3-703(1)(d), (g) and Rules 1-04(g)(2) and 4-01(c).

- a) Board staff's review revealed that the Campaign failed to disclose expenditures associated with the following fundraising events: receptions held at the home of Dempsey & Ted Phillips and the Westport Building, an event hosted by Birmingham Mayor Bernard Kincaid, and fundraisers held at the Harlem Vintage, Morningside Gardens Housing Complex, and Jing Fong Restaurant. This means that these goods/services may have been provided to the Campaign without charge.
- b) Board staff's review revealed that the Campaign failed to adequately document the following in-kind contributions, and as a result the fair market value of the contributions could not be determined:

<u>Contributor</u>	<u>Stmt/Sch/TransID</u>	<u>Date</u>	<u>Amount</u>
Affordable Housing PAC	12/D/R0013400	07/20/05	\$241
Finkelstein, Joan	10/D/R0012336	05/25/05	\$970
Fletcher, Alphonse	12/D/R0013125	08/16/05	\$2,529
Pena, Jose	12/D/R0013338	08/16/05	\$230

- c) The Campaign did not report in-kind contributions (see Exhibit IV).

Recommendation #3

The Campaign must explain its failure to disclose the value of the in-kind contributions listed above. In addition, the Campaign must amend its disclosure statements to disclose the unreported in-kind contributions. The Campaign must also provide supporting documentation to demonstrate the value of the in-kind contributions. The Campaign must provide supporting documentation for the reported in-kind contributions. Supporting documentation may include, but is not limited to, invoices,

appraisals, and/or estimates of the fair market value of the in-kind contributions or documentation explaining the cost bases for valuing the in-kind contribution from the reported contributors. The Campaign must explain its failure to document adequately the value of the in-kind contributions listed above.

Campaign's Response

- a) The Campaign stated that forms were given to hosts and never returned with the requested information. The Campaign provided two forms from Dempsey and Ted Phillips.
- b) The Campaign submitted in-kind contribution forms from these contributors but was unable to obtain the receipts.
- c) The Campaign did not respond to this finding.

Board's Response

- a) The Campaign did not amend its disclosure statement to report the in-kind contributions. The Board assessed \$300 in penalties for these violations, \$50 each.
- b) The Campaign did not provide receipts for each itemized expense. In addition, based on the forms provided, the Campaign did not accurately report the in-kind

contributions cited. The Board assessed \$200 in penalties for these violations, \$50 each.

c) The Board assessed \$250 in penalties for these violations, \$50 each.

4. DISCLOSURE STATEMENTS – Late Filings

Campaigns are required to file disclosure statements on scheduled dates. *See* New York City Charter §1052(a)(8), New York City Administrative Code §§3-703(6) and 3-708(8) and Rules 1-09(a) and 3-02.

The Campaign filed the following statements after the deadline dates:

<u>Statement #</u>	<u>Due Date</u>	<u>Date Filed</u>	<u># Days Late</u>
3	07/15/03	07/16/03	1
5	07/15/04	07/16/04	1

Recommendation #4

The Campaign must include an explanation indicating why it failed to timely submit the disclosure statements above. If the Campaign has proof of mailing that shows the statement was filed on time, it should include copies of such documents as part of its response.

Campaign's Response

The Campaign stated that it missed the cut-off for the hand delivery of the reports for Statement #3. For Statement #5, the Campaign stated it was on time in submitting the disclosure statement but that it was rejected because of a wrong version of C-SMART.

Board's Response

The Board assessed \$800 in penalties for these violations, \$400 for each.

5. DISCLOSURE – Possible Subcontractors

Campaigns are required to disclose all expenditures that total more than \$5,000 in the aggregate that are made to subcontractors by any vendors to the Campaign subcontracting for work on behalf of the Campaign. The name and address of the subcontractor, the amounts paid to the subcontractor, and the purpose and amount of any expenditure must be reported. *See* Rule 3-03(e)(3).

Board staff's review of disclosure statements filed by the Campaign revealed that the payees received large payments and appear to have provided goods and/or services that may have been subcontracted (see Exhibit V).

Therefore, information concerning the use of subcontractors may not have been fully reported to the Board as required.

Recommendation #5

The Campaign must respond by addressing whether each of these payees used subcontractors who received in excess of \$5,000 in total during the course of the campaign. The Campaign must support its response with written documentation from the payees regarding the use of subcontractors. If subcontractors received more than \$5,000, the Campaign must amend its disclosure statements to reflect this information by adding

subcontractor information in C-SMART and filing amended disclosure statements. The Campaign should not rely solely on the lack of named subcontractors on a payee's original invoices. A sample form to send to the listed payees is available in the *Campaign Finance Handbook*, on the CFB's website, www.nyccfb.info, or you may contact the Candidate Services Unit at 212-306-7100 to request a copy. The Campaign should provide documentation of all its attempts to obtain subcontractor information.

Campaign's Response

The Campaign stated that it provided copies of letters to payees in attempts to obtain the requested information.

Board's Response

The Campaign did not adequately document that attempts were made to contact seven vendors. For the remaining four vendors (MSCH Partners, National Political Services, Political Polling Group/Political Spot Media Inc., and Prime-New York) the Campaign did not respond. The Board assessed \$750 in penalties for these violations.

6. EXPENDITURES – Campaign-Related

Program requirements prohibit the use of campaign funds for purposes other than expenses incurred in an election by the principal committee for running a campaign. This includes expenses such as paying personal or family expenses, paying individuals for volunteer services after the receipt of public funds, paying for long distance travel or lodging expenses, and paying expenses related to the performance of one's official duties

as an elected official. Program participants are required to obtain and furnish to the Board information demonstrating that such expenditures are related to the campaign. Failure to sufficiently document expenses such as campaign-related will reduce the disbursements total in the unspent calculation, resulting in an increased amount of public funds due back to the Board. *See* New York City Administrative Code §§3-703(1)(d), (g), and Rules 1-03(a) and 5-03(e)(2).

The Campaign reported eight expenditures totaling \$3,041 that due to their purpose may be unrelated to the 2005 campaign (see Exhibit VI).

Recommendation #6

The Campaign must provide documentation and information demonstrating to the Board that these expenditures were incurred for campaign-related purposes for the 2005 elections. Until the Campaign makes this demonstration, the amount of these expenditures will not be considered in the unspent campaign funds calculation, thus increasing the amount of funds the Campaign must repay to the Board (see Appendix #2).

Campaign's Response

The Campaign did not respond to this finding.

Board's Response

See Finding #7.

7. EXPENDITURES – Post Election

After the election, Program participants are restricted from making any disbursements except for the preceding election until all unspent funds have been repaid to the Board. The participant has the burden of demonstrating that a post-election expenditure is for the preceding election. Only certain limited routine activities involving nominal costs associated with winding up a campaign are permitted. *See* New York City Administrative Code §3-710(2)(c) and Rule 5-03(e)(2).

The Campaign reported expenditures totaling \$54,230 that due to their timing, amount, and purpose appear to be improper post-election expenditures (see Exhibit VII).

Recommendation #7

The Campaign must provide documentation and information to the Board to show that these expenditures were for the preceding election or were routine and nominal expenditures associated with winding up the 2005 campaign. Until the Campaign makes this demonstration, the amount of these expenditures will be deducted from the disbursements total of the unspent campaign funds calculation and therefore will increase the amount of unspent campaign funds to be repaid to the Board (see Appendix #2).

Campaign's Response

The Campaign stated that “these were services prior to election but not submitted for payment until after election. See attached invoices.”

Board's Response

The Campaign did not provide invoices for the post-election expenditures. The Board assessed a \$5,727 penalty for making eight non-campaign expenditures (see Finding #6 and Exhibit VI) and 31 improper post-election expenditures (see Exhibit VII).

8. FINANCIAL DISCLOSURE REPORTING – Discrepancies

Campaigns are required to report every contribution, loan, and other receipt received, and every disbursement made. *See* New York City Administrative Code §3-703(6) and Rule 3-03. In addition, the Campaign is required to deposit all receipts into an account listed on the candidate's Certification. *See* Administrative Code §3-703(10) and Rule 2-06(a). The Campaign is also required to provide the Board with bank records, including periodic bank statements and deposit slips. *See* Administrative Code §§3-703(1)(d), (g), and Rules 4-01(a), (f).

The Campaign provided Board staff with its Chase bank account statements covering the period May 28, 2002 through July 21, 2006:

- a) A comparison of the Campaign's bank account statements to information disclosed on the Campaign's financial disclosure statements three through seventeen covering the period from January 12, 2003 through January 11, 2006 revealed the following discrepancies:

<u>Total Reported Monetary Disbursements</u>	<u>Total Debits Per Bank Statements</u>	<u>Dollar Variance</u>	<u>Percent Variance</u>
\$3,116,275	\$3,300,000	\$183,725	5.90%

- b) The Campaign failed to report 127 transactions totaling \$74,825 (see Exhibit VIII).
- c) The Campaign reported 24 transactions totaling \$18,477 that do not appear on its bank statements (see Exhibit IX).
- d) The Campaign did not properly report transactions (see Exhibit X).
- e) The Campaign failed to report a \$93,000 transfer out to Fields for New York on October 7, 2004 (see Finding #15).

As a result of this review, the Board does not have adequate assurance that the Campaign accurately reported its financial activity.

Recommendation #8

The Campaign must compare information reported on its financial disclosure statements to supporting documentation for contributions and/or expenditures and bank statements to identify and explain the cited discrepancies. Additionally, for unreported transactions, the Campaign must both provide documentation and amend its disclosure statements to disclose the transactions. For checks cited as not appearing on bank statements, the Campaign must provide evidence to show that the check has cleared the bank (i.e., front and back of the check), was reported in error, or amend its disclosure statement to delete the transaction as an expenditure payment and report it as an in-kind

contribution. For inaccurately reported transactions, the Campaign must amend its disclosure statements to accurately report the transactions. For assistance with modifying transactions in C-SMART and submitting amendments, please call the Candidate Services Unit at 212-306-7100. Please note that any newly entered transactions will only appear as new transactions in an amendment to the last disclosure statement, even if the transaction dates are from earlier periods. Please also note that the Campaign must file an amendment for each disclosure statement in which transactions are being modified. Once all data entry is completed, the Campaign should run the Modified Statements Report in C-SMART to identify statements for which amendments must be submitted. If any new transactions have been added, the Campaign must amend statement seventeen.

Campaign's Response

a) – e) The Campaign stated: “Bank statement 12/21/05 – 1/23/06 (old account, 2/14/06 - 7/21/06 (new account). See attached.” In addition, the Campaign provided a spreadsheet which lists other transactions that were also unreported. However, the Campaign did not amend its disclosure statements and did not provide documentation.

Board's Response

- a) The Board assessed a \$1,000 penalty for this violation.
- b) The amount of these unreported transactions has not been considered in the disbursements total of the unspent campaign funds calculation (see Appendix #2).
- c) This amount has been deducted from the disbursements total of the unspent campaign funds calculation (see Appendix #2).
- d) The underreported amount was not considered in the disbursements total of the unspent campaign funds calculation (see Appendix #2).
- e) The amount of this unreported transfer-out was added to the disbursements total of the unspent campaign funds calculation and included in the payment calculation as a Rule 5-01(n) deduction (see Finding #15). In addition, the Board assessed a \$50 penalty for this violation.

9. PETTY CASH GREATER THAN \$500

Campaigns are prohibited from maintaining a petty cash fund greater than \$500.

See Rule 4-01(e)(2).

The Campaign had the following petty cash withdrawals, which means it had a petty cash fund which exceeded the established limit:

<u>Date</u>	<u>Stmt/Sch/TransID</u>	<u>Check No.</u>	<u>Amount</u>
03/17/05	8/F/R0010389	1499	\$740
04/15/05	8/F/R0010466	1536	\$740
08/11/05	12/F/R0013691	1760	\$1,500
08/23/05	12/F/R0014611	1805	\$800

Recommendation #9

The Campaign must explain and provide evidence as to why these transactions do not constitute a violation of Board Rules. The Campaign must also provide a copy of its petty cash disbursement records.

Campaign's Response

The Campaign stated that it provided "copies of checks #1499, 1536, 1760, 1805 and copy of invoices and/or receipts."

Board's Response

The Campaign did not provide an explanation. The Board assessed \$250 in penalties for these violations.

10. DAILY PRE-ELECTION DISCLOSURE – Statements of Contributions/Expenditures

During the two weeks preceding an election, campaigns are required to report all contributions or loans accepted from a single source exceeding \$1,000, and expenditures

made in excess of \$20,000, within 24 hours after they are accepted or made. *See* Rule 3-02(e).

The Campaign did not file the required daily disclosure statements to report the following expenditures which were reported subsequently on its financial disclosure statements:

<u>Payee</u>	<u>Stmt/Sch/TransID</u>	<u>Date Paid/ Incurred</u>	<u>Amount</u>
Joe Slade White & Co Inc	13/F/R0013375	08/30/05	\$41,934
Astoria Graphics	13/F/R0014095	09/01/05	\$21,242

Recommendation #10

The Campaign must respond by indicating why the above findings do not constitute a violation of Rule 3-02(e). The Campaign cannot file the daily pre-election disclosure statement now. If the Campaign has proof that it filed the required daily disclosure statements it should provide it now.

Campaign's Response

The Campaign stated that it attached copies of all documentation.

Board's Response

The Campaign did not provide an explanation for these findings. The Board assessed a \$250 penalty for these violations.

11. UNDOCUMENTED TRANSACTIONS

Upon request from the Board, a campaign is required to provide copies of checks, bills, or other documentation to verify contributions, expenditures, or other transactions reported in disclosure statements. See New York City Administrative Code §§3-703(1)(d), (g), and Rule 4-01.

- a) The Campaign failed to provide, in response to Board staff's request, supporting documentation for the following reported transactions:

<u>Name</u>	<u>Transaction Type</u>	<u>Stmt/Sch/TransID</u>	<u>Refund Date</u>	<u>Amount</u>
Carlin, Matthew	Refund	4/M/R0003768	11/05/03	\$10
Selver, Paul*	Refund	8/M/R0010571	05/11/05	\$50
Shanahan, Thomas	Refund	4/M/R0003766	11/03/03	\$200
The Friends of Joel Rivera	Refund	5/M/R0004212	04/28/04	\$250

*See Finding #2.

- b) The Campaign failed to provide documentation for a joint expenditure (see Exhibit XI).

- c) Board staff requests that the Campaign provide documentation for the following reported transactions:

<u>Name</u>	<u>Stmt/Sch/TransID</u>	<u>Date</u>	<u>Amount</u>
Grassroots Organization	10/F/R0012300	06/17/05	\$1,000
Grassroots Organization	12/F/R0013517	08/26/05	\$2,600
Grassroots Organization	12/F/R0013519	08/26/05	\$2,600
White, Jr., Thomas	10/F/R0012538	06/28/05	\$4,000
White, Jr., Thomas	12/F/R0013540	08/11/05	\$2,000

Recommendation #11

The Campaign must provide a copy of each of the canceled checks (front and back) of the refunded contributions listed and provide an explanation for the initial failure to document these transactions. For the joint expenditures, the Campaign must provide copies of invoices and methodologies for how the allocation of costs between the campaigns was determined.

Campaign's Response

- a) - c) The Campaign stated that it attached copies of all documentation.

Board's Response

- a) - b) The Board will take no further action on this matter other than to make this a part of the candidate's record with the Board.
- c) The Board assessed \$250 in penalties for these violations.

12. REQUEST FOR INFORMATION REGARDING EXPENDITURES TO FAMILY MEMBERS

Campaigns are required to provide information to the Board to verify their compliance with the Act and Rules. Public funds may not be used for expenditures made to the candidate; to the candidate's immediate family members which includes a spouse, domestic partner, grandchild, parent, grandparent, brother or sister of the candidate or spouse or domestic partner of such child, grandchild, parent, grandparent, brother or

sister; or to business entities in which the candidate or family members have a 10% or greater ownership share. *See* New York City Administrative Code §3-704(2)(b).

Board staff requests the candidate submit a verified form listing family members to whom the Campaign made payments or incurred liabilities and listing business entities in which the candidate or family members had an ownership interest of 10% or greater to which the Campaign made payments or incurred liabilities. If no such expenditures were made, the candidate must verify that none existed.

Recommendation #12

The candidate must complete, sign, and submit this form with the response to the draft audit report.

Campaign's Response

The Campaign stated that it attached copies of all documentation.

Board's Response

The Campaign did not submit the required form. The Board assessed a \$50 penalty for this violation.

13. ADVANCE PURCHASES

Campaigns are required to report all advances made on behalf of the committee. The Campaign must include the advancer's name and address as well as the name and address of the vendor from whom the purchase was made. *See* Rule 3-03(c)(3).

The Campaign did not properly report vendors for the transactions listed in Exhibit XII.

Recommendation #13

The Campaign must amend its disclosure statements to report the vendors' names and addresses from whom the purchases were made.

Campaign's Response

The Campaign stated that it attached copies of all documentation.

Board's Response

The Campaign did not amend its disclosure statements. No further action will be taken on this matter other than to make this a part of the candidate's record with the Board.

14. DISCLOSURE – Intermediary Affirmation Statements

Campaigns are required to provide a signed intermediary affirmation statement for each instance in which a campaign accepts contributions through an intermediary.

The written record must contain the intermediary's name, residential address, employer and business address, names of the contributors, and the amounts contributed. The record must also contain the affirmation statement: "I hereby affirm that I did not, nor to my knowledge, did anyone else, reimburse any contributor in any manner for his or her contribution and none of the submitted contributions was made by the contributor as a loan." This record must be signed by the intermediary, or if the intermediary is unable to sign his or her name, marked with an "X" and signed by a witness. In addition, the record must contain the following statement: "The making of false statements in this document is punishable as a Class E felony pursuant to §175.35 of the Penal Law and/or a Class A misdemeanor pursuant to §210.45 of the Penal Law. *See* Rule 4-01(b)(5).

The Campaign failed to provide a signed intermediary statement for contributions intermediated by Genaro Castellanos (see Exhibit XIII). In addition, the unsigned form submitted by the Campaign shows that Mr. Castellanos intermediated 18 contributions of \$250 each totaling \$4,500 while the Campaign's reporting indicates that Mr. Castellanos intermediated 17 contributions of \$250 totaling \$4,250.

Campaign's Response

The Campaign did not respond to this finding which was included in the Notice of Alleged Violation and Recommended Penalties.

Board's Response

The Board assessed a \$200 penalty for this violation.

15. INVALID CLAIMS – Overpayment of Public Funds

Campaigns must repay public funds promptly upon any determination that a repayment is required pursuant to the Act and Board Rules. *See* New York City Administrative Code §3-710 and Rule 5-03. Rule 5-01(n) states that transfers to political committees not involved in the election and/or loans to or spending for other candidates, political party committess, or political clubs that are not reimbursed within 30 days and/or payments of debts incurred for previous elections are presumed to consist entirely of contributions claimed to be matchable.

The Campaign claimed \$395,647 in matchable contributions, of which \$20,188 is invalid (see Exhibit XIV). Additionally, pursuant to Rule 5-01(n), \$93,155 in claims is being withheld for contributions the Campaign made to other political committees and/or payment of debts for a previous election (see Exhibit XV), leaving \$282,304 in total valid matchable contributions. Therefore, the Campaign is entitled to \$1,129,216 in public matching funds at a matching rate of \$4 to \$1. The Campaign previously received \$1,466,556 in public funds, which exceeds the amount for which it is eligible based upon the total valid matchable contributions by \$337,340 (see Exhibit XIV).

Recommendation #15

The Campaign must repay \$337,340 to the Fund or provide documentation to the Board to validate the matchable contributions deemed invalid. The invalid matching claims report gives the details of contributions determined to be invalid by the Board. The left side of the report shows each matching claim field by field as the Campaign reported it. The right side is provided for the Campaign's response. Please check the box that describes the action the Campaign is taking to correct the invalid claim, write any additional explanation on the report, and return the report with the rest of the response to the draft audit report. For responses which the Campaign wishes to supply additional or modified data, the appropriate transactions in C-SMART must be modified and amendments for those statements submitted. Please note that the Board will not validate any claim that has more than one invalid code until all corrections are made. The Campaign may also provide documentation to show that the disbursements which the Board identified as being subject to Rule 5-01(n) deductions are not subject to the rule. Any repayment of public funds pursuant to this finding will reduce the amount of unspent campaign funds shown in Appendix #2 (see Finding #1).

Campaign's Response

The Campaign did not respond to this finding.

Board's Response

The Campaign must repay \$337,340 to the Fund.

16. DRAFT AUDIT REPORT - Late Response

New York City Administrative Code §§3-703(1)(d) and 3-703(1)(g) and Rule 4-01(a) require a campaign, upon request from the Board, to provide copies of checks, bills or other documentation to verify contributions, expenditures or other transactions reported in its disclosure statements.

The Campaign was sent the draft audit report on December 26, 2006 with a response deadline of January 25, 2007. The Campaign was granted two extensions: one until February 8, 2007, the other until March 8, 2007. Board staff also made several attempts to contact the Campaign. The Campaign failed to respond to the draft audit report until June 27, 2007, after the deadline for responding to of a Notice of Alleged Violations and Recommended Penalties.

Board's Response

The Board assessed a \$43,800 penalty for this violation.

New York City Campaign Finance Board
 Campaign Finance Information System
 Transaction Summary Report
 Appendix 1

Candidate: Fields, C. Virginia (ID:B6-P)

Office: 1 (Mayor)

Election: 2005

1. Opening cash balance (All committees)		\$0
2. Total itemized monetary contributions (Sch ABC)		\$1,826,645
3. Total unitemized monetary contributions		\$0
4. Total in-kind contributions (Sch D)		\$5,525
5. Total unitemized in-kind contributions		\$0
6. Total other receipts (Sch E - excluding CFB payments)		\$112
7. Total unitemized other receipts		\$0
8. Total itemized expenditures (Sch F)		\$3,040,125
Expenditure payments	\$2,997,296	
Advance repayments	\$42,829	
9. Total unitemized expenditures		\$0
10. Total transfers-In (Sch G)		\$0
Type 1	\$0	
Type 2a	\$0	
Type 2b	\$0	
11. Total transfers-out (Sch H)		\$0
Type 1	\$0	
Type 2a	\$0	
Type 2b	\$0	
12. Total loans received (Sch I)		\$0
13. Total loan repayments (Sch J)		\$0
14. Total loans forgiven (Sch K)		\$0
15. Total liabilities forgiven (Sch K)		\$0
16. Total expenditures refunded (Sch L)		\$0
17. Total receipts adjustment (Sch M - excluding CFB repayments)		\$76,150
18. Total outstanding liabilities (Sch N - last statement submitted)		\$65,269
Outstanding Bills	\$65,269	
Outstanding Advances	\$0	
19. Total advanced amount (Sch X)		\$0
20. Net public fund payments from CFB		\$1,459,636
Total public funds payment	\$1,459,636	
Total public funds returned	\$0	
21. Total Valid Matchable Claims		\$375,459
22. Total Invalid Matchable Claims		\$20,188
23. Total Amount of Penalties Assessed		\$70,567
24. Total Amount of Penalty Payments		\$0
25. Total Amount of Penalties Withheld		\$0

New York City Campaign Finance Board
 Campaign Finance Information System
 Unspent Campaign Funds Calculation
 Appendix 2

Statements: 3 - 17

Candidate: Fields, C. Virginia (ID:B6-P)

Office: 1 (Mayor)

Election: 2005

1. Opening cash balance (All committees)	\$0	
2. Total itemized monetary contributions (Sch ABC)	\$1,826,645	
3. Total unitemized monetary contributions	\$0	
4. Total other receipts (Sch E - not from CFB)	\$112	
5. Total expenditures refunded (Sch L)	\$0	
6. Total loans received (Sch I)	\$0	
7a. Total transfers-In (Sch G - type 1)	\$0	
7b. Total transfers-In (Sch G - type 2b)	\$0	
8. Net public fund payments from CFB	\$1,459,636	
Total public funds payment	\$1,459,636	
Total public funds returned	\$0	
9. Adjustment to Receipts	\$0	
10. Subtotal: Line 1 through 9.....		\$3,286,393
11. Total itemized expenditures (Sch F)	\$3,040,125	
12. Total receipts adjustment (Sch M - not CFB)	\$76,150	
13. Total outstanding bills (Sch N - All committees)	\$65,269	
14. Total unitemized expenditures	\$0	
15. Total loan repayments (Sch J)	\$0	
16. Total loans forgiven (Sch K)	\$0	
17. Total outstanding loans (Sch I-(J+K))	\$0	
18a. Total transfers-out (Sch H - type 1)	\$0	
18b. Total transfers-out (Sch H - type 2b)	\$0	
19. Adjustment to Disbursements (see Insert 1)	(\$75,748)	
20. Subtotal: Line 11 through 19.....		\$3,105,796
Total unspent campaign funds (line 10 - line 20):		\$180,597

INSERT 1
Adjustments to the Unspent Campaign Funds Calculation**Candidate:** Fields, C. Virginia (ID:B6-P)**Office:** 1 (Mayor)**Election:** 2005**Adjustments to Disbursements**

Non Campaign Related Expenditures (see Finding #6)	(\$3,041)
Unallowable Post Election Expenditures (see Finding #7)	(\$54,230)
Uncashed Checks\Not Appearing on Bank Statements (see Finding #8c)	(\$18,477)
Total:	(\$75,748)

Exhibit I

Exhibit I

New Yorkers for Fields

2005 Elections

Corporate Contributions

<u>Contributor</u>	<u>Amount</u>	<u>Received Date</u>	<u>Refund Date</u>
1878 Seventh Avenue HDFC Fiel	\$480	08/08/05	08/15/05
Amity Meat Inc.	\$250	07/10/04	06/01/05
Association of Concrete Producers	\$200	06/15/04	07/07/05
Astarte Cosmetics Inc.	\$250	05/01/05	07/07/05
B.E. Smith	\$100	01/11/05	07/25/05
Carl C Burnett	\$500	06/29/04	06/01/05
JBGG Realty	\$15	05/11/05	07/25/05
Proofreaders Ltd.	\$100	06/15/04	06/01/05
Qualitree Printworks Inc.	\$100	07/09/04	06/01/05
T R C Enterprises	\$100	07/09/04	06/01/05

Exhibit II

Exhibit II

New Yorkers for Fields

2005 Elections

Contributions Over-the-Limit

<u>Contributor</u>	<u>Amount</u>	<u>Amount Over the Limit</u>	<u>Date</u>	<u>Refund Date</u>
247 Water Street LLC	\$100		01/09/04	
273 Water Street LLC	\$4,950	\$100 Limit Exceeded	01/09/04	
80 South Street LLC	\$4,950	\$5,050 Limit Exceeded	01/09/04	
247 Water Street LLC	(\$100)			07/27/05
273 Water Street LLC	(\$4,950)			07/27/05
	<u>\$4,950</u>			
Bluestone Management Assoc	\$600		01/08/04	
Bluestone Management Assoc	\$3,000		01/08/04	
84 th Crescent Realty Co LLC	\$3,000	\$1,650 Limit Exceeded	01/08/04	
NHE Management Assoc LLC	\$4,000	\$5,650 Limit Exceeded	01/08/04	
Bluestone Management Assoc	(\$600)			07/27/05
Bluestone Management Assoc	(\$3,000)			07/27/05
NHE Management Assoc LLC	(\$2,050)			07/27/05
	<u>\$4,950</u>			
C Robinson Thompson & Asso LLC	\$1,500		06/24/04	
C Robinson Thompson & Asso LLC	\$3,500	\$50 Limit exceeded	01/06/05	
C Robinson Thompson & Asso LLC	(\$50)			01/07/05
JT Speed Development LLC	\$1,500	\$1,500 Limit Exceeded	03/11/05	
Holland Development LLC	\$1,500	\$3,000 Limit Exceeded	03/11/05	
CRJT Development Co LLC	\$1,500	\$4,500 Limit Exceeded	03/11/05	
Preston Taylor Projects LLC	\$1,500	\$6,000 Limit Exceeded	03/11/05	
CRJT Development Co LLC	(\$1,500)			07/27/05
JT Speed Development LLC	(\$1,500)			07/27/05
Holland Development LLC	(\$1,500)			07/27/05
Preston Taylor Projects LLC	(\$1,500)			07/27/05
	<u>\$4,950</u>			
Sylvia Olnick	\$4,950		04/28/05	
Hampton Management Co LLC	\$4,950	\$4,950 Limit Exceeded	04/28/05	
Lenox Terrace Develop Assoc	\$3,000	\$7,950 Limit Exceeded	04/28/05	
Lenox Terrace Develop Assoc	\$1,000	\$8,950 Limit Exceeded	04/28/05	
Hampton Management Co LLC	(\$4,950)			07/27/05
Lenox Terrace Develop Assoc	(\$3,000)			07/27/05
Lenox Terrace Develop Assoc	(\$1,000)			07/27/05
	<u>\$4,950</u>			

Exhibit III

Exhibit III

New Yorkers for Fields

2005 Elections

Contributions Over-the-Limit

(see Finding #2)

<u>Contributor/Vendor</u>	<u>Stmt/Sch/TransID</u>	<u>Type</u>	<u>Date</u>	<u>Amount</u>	<u>Amount Over-the-Limit</u>
Baraff Communications	17/N/R0014615	Outstanding Liability	09/22/05	\$20,000	\$15,050 Limit Exceeded
Stoll & Glickman	17/N/R0014725	Outstanding Liability	12/30/05	\$5,000	\$50 Limit Exceeded
Stoll & Glickman	17/N/R0014726	Outstanding Liability	01/10/06	<u>\$2,500</u>	\$2,550
			Total	<u>\$7,500</u>	
Strategic Services *	17/N/R0014616	Outstanding Liability	09/20/05	\$6,000	\$1,050 Limit Exceeded
Selver, Paul	3/ABC/R0001667	Contribution	06/12/02	\$1,000	
Selver, Paul	3/ABC/R0002006	Contribution	06/12/03	\$1,000	
Selver, Paul	4/ABC/R0003500	Contribution	12/29/03	\$1,500	
Selver, Paul	5/ABC/R0004864	Contribution	06/16/04	\$500	
Selver, Paul	8/ABC/R0008496	Contribution	04/11/05	\$1,000	\$50 Limit Exceeded
Selver, Paul**	8/ABC/R0010571	Refund	01/11/05	<u>(\$50)</u>	
			Total	<u>\$4,950</u>	

*This may also be a prohibited corporate contribution. See New York City Administrative Code §3-703(1)(l) and Rule 1-04(e).

**The Campaign reported a refund for the over-the-limit amount, but did not provide a copy of the cancelled check (see also Finding #8d and Exhibit X).

Exhibit IV



Rev
Al
Sharpton

Asks you to Re-Elect
City Councilman
Charles Barron

Virginia Fields
for Mayor of NYC

John Sampson
for District Attorney

Diana Johnson
for Surrogate Court Judge

Norma Jennings
for Civil Court Judge

Democratic Primary,
Tuesday, September 13th, 2005
Polls Open 6:00am - 9:00pm

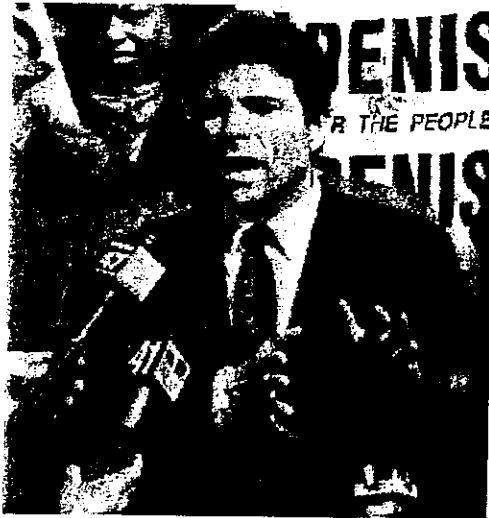
You may take this into the voting booth

VOTE FOR OUR FUTURE!

C. VIRGINIA

FIELDS

MAYOR



NELSON

DENIS

CITY COUNCIL

NORMAN

SIEGEL

PUBLIC ADVOCATE

MANNY-MANUEL

ONATIVIA

DISTRICT LEADER

***You can take this Sample Ballot
Into the Voting Booth.***

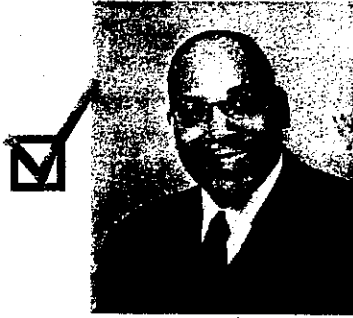
VOTE THE **BARRON** SLATE



CHARLES BARRON
CITY COUNCIL



C VIRGINIA FIELDS
MAYOR



JOHN SAMPSON
DISTRICT ATTORNEY



SYLVIA ASH
CIVIL COURT



DIANA JOHNSON
SURROGATE COURT

DEMOCRATIC PRIMARY - SEPTEMBER 13, 2005

VOTE 6am - 9pm

PAID FOR BY CHARLES BARRON 2005





RE-ELECT
COUNCIL MEMBER **Yvette D. CLARKE**
DEMOCRAT

**Leadership That Cares.
Leadership That Works.**

Democratic Primary • Tuesday, September 13th

ENDORSED BY:

BROOKLYN BOROUGH PRESIDENT MARTY MARKOWITZ • ASSEMBLYMAN ROGER GREEN
• STATE SENATOR CARL ANDREWS • STATE SENATOR JOHN SAMPSON
• STATE SENATOR KEVIN PARKER • COUNCILMEMBER DR. KENDALL STEWART • PUBLIC
ADVOCATE BETSY GOTBAUM • MANHATTAN BOROUGH PRESIDENT C. VIRGINIA FIELDS •
SEIU 1199 • SEIU 32BJ • SEIU DOCTOR'S COUNCIL • COUNCIL OF SCHOOL SUPERVISORS &
ADMINISTRATORS (CSA) • DETECTIVES ENDOWMENT ASSOCIATION • NYC DISTRICT
COUNCIL OF CARPENTERS • IUOE LOCALS 94, 94A, 94B • IUPAT DC9 • LOCAL 1182 CWA
DISTRICT 1 • LOCAL 338 RWDSU/UFCW • DISTRICT COUNCIL OF MASON TENDERS •
SERGEANTS BENEVOLENT ASSOCIATION • UAW REGION 9A • UNITED FEDERATION OF
TEACHERS (UFT) • UNITED FIREFIGHTERS ASSOCIATION • PROGRESSIVE DEMOCRATS
POLITICAL ASSOCIATION • EMPIRE PRIDE AGENDA • LAMBDA INDEPENDENT DEMOCRATS
• STONEWALL DEMOCRATIC CLUB • WORKING FAMILIES PARTY • DR LAMUEL STANISLAUS
• REV. DR. VONI JOHN • BISHOP SYLVETA HAMILTON-GONZALES

The Winning Team!

Councilmember Yvette D. Clarke Urges You To Vote For The Following Candidates:



C. VIRGINIA FIELDS
Mayor



BETSY GOTBAUM
Public Advocate



JOHN SAMPSON
District Attorney



**JUDGE MARGARITA
LOPEZ TORRES**
Brooklyn Surrogate Court



SYLVIA ASH
Civil Court Judge



MICHAEL GERSTEIN
Civil Court Judge
(6th District)



YVETTE D. CLARKE
40th Council District



Vote The Clarke Team



Yvette D. Clarke
City Council



C. Virginia Fields
Mayor



Betsy Gotbaum
Public Advocate



John Sampson
Brooklyn District Attorney



Sylvia Ash
Civil Court Judge, Kings County



Margarita Lopez Torres
Surrogate Court Judge



Michael Gerstein
Civil Court Judge, District 6

Vote Democratic Primary
Tuesday, September 13th

RE-ELECT

COUNCIL MEMBER

Yvette D.
CLARKE

DEMOCRAT

Exhibit V

Exhibit V

New Yorkers for Fields

2005 Elections

Possible Subcontractors

(see Finding #5)

<u>Payee</u>	<u>Amount Paid</u>
Baraff Communications	\$70,000
Log-On	\$12,101
M2 Post & Design	\$13,000
MSHC Partners	\$137,475
National Political Services	\$159,500
Political Polling Group / Political Spot Media Inc.	\$35,000
Prime New York	\$24,852
Paratore Audio-Visual Inc	\$20,834
The Feldman Group	\$65,553
The McCaffrey Group Ltd	\$29,000
Wintry Press Ltd	\$10,486

Exhibit VI

Exhibit VI

New Yorkers for Fields

2005 Elections

Non-Campaign Related Expenditures

(see Finding #6)

<u>Payee</u>	<u>Invoice Date</u>	<u>Date Paid</u>	<u>Stmnt/Sch/TransID</u>	<u>Purpose</u>	<u>Amount</u>
Aplha International Travel Inc	12/26/02	01/07/03	3/F/R0002274	OTHER	\$673
Wyndham Hotel	06/24/05	06/27/05	10/F/R0012407	OTHER	\$207
Delta Air Lines Inc	06/27/05	06/27/05	10/F/R0012423	OTHER	\$399
Delta Air Lines Inc	06/27/05	06/27/05	10/F/R0012425	OTHER	\$399
US Airways	07/01/05	07/01/05	10/F/R0012401	OFFCE	\$337
American Airlines	07/01/05	07/01/05	10/F/R0012420	OTHER	\$337
ATA Airlines Inc	07/07/05	07/08/05	10/F/R0012410	OTHER	\$353
Amtrak	07/08/05	07/08/05	12/F/R0014612	OTHER	\$336
				Total	<u>\$3,041</u>

Exhibit VII

Exhibit VII**New Yorkers for Fields****2005 Elections****Improper Post-Election Expenditures****(see Finding #7)**

<u>Payee</u>	<u>Date</u>	<u>Date Paid</u>	<u>Stmt/Sch/TransID</u>	<u>Purpose</u>	<u>Amount</u>
Shulman, Elaine	09/14/05	10/11/05	17/F/R0014665	OTHER	\$345
Garcia, Ramona	09/15/05	09/15/05	13/F/R0014523	OTHER	\$150
Mason, Trudy	09/15/05	09/15/05	13/F/R0014560	CONSL	\$2,500
Strickland, Martin	09/15/05	09/15/05	13/F/R0014518	OTHER	\$430
Dell Computer Corporation	09/17/05	10/11/05	17/F/R0014659	OFFCE	\$55
Watson, Dazivido	09/19/05	09/19/05	13/F/R0014662	OTHER	\$3,200
Clifford, Deborah	09/23/05	09/23/05	17/F/R0014630	WAGES	\$3,000
Hacksaw, Rock	09/23/05	09/23/05	17/F/R0014632	WAGES	\$3,000
Peeler-Allen, Kimberly	09/23/05	09/23/05	17/F/R0014636	WAGES	\$2,500
Rodriguez, Carlos	09/23/05	09/23/05	17/F/R0014638	WAGES	\$2,441
Smith, Luther	09/23/05	09/23/05	17/F/R0014640	WAGES	\$3,739
Young, Barbera	09/23/05	09/23/05	17/F/R0014642	WAGES	\$1,400
Dell Computer Corporation	09/27/05	10/07/05	17/F/R0014651	OFFCE	\$77
Smart, Daryl	09/30/05	09/30/05	17/F/R0014686	CONSL	\$600
Chaplin, Sharon	09/30/05	09/30/05	17/F/R0014698	CONSL	\$700
Gordon, Karlene	09/30/05	09/30/05	17/F/R0014690	CONSL	\$500
Mitchell, Regina	09/30/05	09/30/05	17/F/R0014700	CONSL	\$480
Dell Computer Corporation	10/01/05	10/11/05	17/F/R0014671	OFFCE	\$265
Wilson, Milton	10/01/05	10/01/05	17/F/R0014702	RENT0	\$4,500
ABC Office Essentials Inc	10/06/05	N/A	17/N/R0014728	OFFCE	\$48
A.J.A. Locksmith	10/07/05	10/07/05	17/F/R0014705	OFFCE	\$138
Mitchell, Regina	10/07/05	10/07/05	17/F/R0014707	CONSL	\$500
Mitchell, Regina	10/14/05	10/14/05	17/F/R0014710	CONSL	\$480
Basilia Gomez, Rita	10/17/05	10/17/05	17/F/R0014712	CONSL	\$700
The Good Service Company	10/17/05	10/17/05	17/F/R0014718	OTHER	\$4,640
Mitchell, Regina	10/21/05	10/21/05	17/F/R0014722	CONSL	\$480
Mitchell, Regina	10/28/05	10/28/05	17/F/R0014724	CONSL	\$480
Dell Computer Corporation	12/25/05	N/A	17/N/R0014741	OFFCE	\$265
Pitney Bowes	12/30/05	N/A	17/N/R0014736	POSTA	\$1,794
Wilson, Milton	12/30/05	N/A	17/N/R0014734	RENT0	\$10,500
Wilson, Milton	12/30/05	N/A	17/N/R0014735	OFFCE	<u>\$4,323</u>
				Total	<u>\$54,230</u>

Exhibit VIII

Exhibit VIII

New Yorkers for Fields

2005 Elections

Unreported Expenditures

(see Finding #8b)

<u>Check No. / Transaction</u>	<u>Date</u>	<u>Amount</u>
Returned Deposited Item Fee	06/06/02	\$10
Checkbook Order Fee	06/05/02	\$107
Returned Deposited Item Fee	06/13/02	\$10
1019	10/29/02	\$250
1020	11/05/02	\$250
Returned Deposited Item Fee	12/31/02	\$10
Returned Deposited Item Fee	05/07/03	\$10
Returned Deposited Item Fee	05/09/03	\$10
Returned Deposited Item Fee	05/09/03	\$10
Returned Deposited Item Fee	05/19/03	\$10
1038	05/02/03	\$64
1057	05/27/03	\$3,000
1059	05/30/03	\$74
Returned Deposited Item Fee	11/09/03	\$10
Returned Deposited Item Fee	11/07/03	\$10
Returned Deposited Item Fee	12/24/03	\$10
Checkbook Order Fee	01/12/04	\$13
Returned Deposited Item Fee	02/19/04	\$10
1213	02/13/04	\$74
Returned Deposited Item Fee	04/07/04	\$10
Returned Deposited Item Fee	04/07/04	\$10
Returned Deposited Item Fee	04/08/04	\$10
1263	05/12/04	\$1,200
Checkbook Order Fee	05/24/04	\$79
Returned Deposited Item Fee	06/25/04	\$10
Returned Deposited Item Fee	06/28/04	\$10
Returned Deposited Item Fee	07/14/04	\$10
Returned Deposited Item Fee	07/14/04	\$10
Returned Deposited Item Fee	07/16/04	\$10
Returned Deposited Item Fee	09/29/04	\$10
Returned Deposited Item Fee	10/06/04	\$10
Returned Deposited Item Fee	10/21/04	\$10
Phonecharge Fee	10/22/04	\$2
Returned Deposited Item Fee	12/08/04	\$10
Vz Wireless	12/21/04	\$100
Returned Deposited Item Fee	12/23/04	\$10
Chk/Sav Document Fees	01/04/05	\$16

Exhibit VIII

New Yorkers for Fields

2005 Elections

Unreported Expenditures

(see Finding #8b)

<u>Check No. / Transaction</u>	<u>Date</u>	<u>Amount</u>
Returned Deposited Item Fee	01/20/05	\$10
Cashier Check Debit	03/29/05	\$20,633
Returned Deposited Item Fee	03/30/05	\$10
Returned Deposited Item Fee	03/30/05	\$10
Merchant Bnkcd Fee	04/06/05	\$370
Returned Deposited Item Fee	04/14/05	\$10
Returned Deposited Item Fee	04/28/05	\$10
Returned Deposited Item Fee	04/29/05	\$10
Merchant Bnkcd Fee	05/04/05	\$63
Merchant Bnkcd Discount	05/04/05	\$60
Returned Deposited Item Fee	05/04/05	\$10
Returned Deposited Item Fee	05/06/05	\$10
Returned Deposited Item Fee	05/26/05	\$10
Returned Deposited Item Fee	05/31/05	\$10
Chk/Sav Document Fees	06/01/05	\$85
Chk/Sav Document Fees	06/01/05	\$42
PBI Postage Meter	06/02/05	\$30
Merchant Bnkcd Fee	06/06/05	\$26
Merchant Bnkcd Discount	06/06/05	\$25
Merchant Bnkcd Interchng	06/06/05	\$5
Constant Contact	06/09/05	\$810
Slice of Harlem	06/13/05	\$324
Nusbaum & WV	06/13/05	\$75
Dell Marketing	06/15/05	\$42
89	06/03/05	\$3,930
91	06/02/05	\$1,000
92	05/27/05	\$15,000
93	05/27/05	\$3,000
Analysis Fee	07/01/05	\$5
Returned Deposited Item Fee	07/05/05	\$10
Merchant Bnkcd Fee	07/07/05	\$21
Merchant Bnkcd Discount	07/07/05	\$13
Astoria Graphics	07/11/05	\$228
Amtrak	07/14/05	\$408
Returned Deposited Item Fee	07/14/05	\$10
Returned Deposited Item Fee	07/15/05	\$10
Returned Deposited Item Fee	07/19/05	\$10
Returned Deposited Item Fee	07/20/05	\$10

Exhibit VIII

New Yorkers for Fields

2005 Elections

Unreported Expenditures

(see Finding #8b)

<u>Check No. / Transaction</u>	<u>Date</u>	<u>Amount</u>
1677	07/08/05	\$2,187
Cashier Check Debit	07/27/05	\$1,633
Cashier Check Debit	07/27/05	\$1,500
Analysis Fee	08/01/05	\$144
Merchant Bnkcd Fee	08/04/05	\$117
Merchant Bnkcd Discount	08/04/05	\$25
Pitney Bowes Rental	08/05/05	\$57
Returned Deposited Item Fee	08/10/05	\$10
Returned Deposited Item Fee	08/10/05	\$10
American Express Collection	08/11/05	\$1,000
Returned Deposited Item Fee	08/15/05	\$10
Rent-A-Center	08/16/05	\$180
Returned Deposited Item Fee	08/16/05	\$10
Joe Slade White & Co	08/18/05	\$11,475
Returned Deposited Item Fee	08/18/05	\$10
1675	08/12/05	\$170
Chk/Sav Document Fees	08/23/05	\$53
Pitney Bowes Rental	09/06/05	\$57
Merchant Bnkcd Discount	09/07/05	\$187
Merchant Bnkcd Fee	09/07/05	\$30
Merchant Bnkcd Interchng	09/07/05	\$3
ADP Payroll Fees	09/08/05	\$86
Returned Deposited Item Fee	09/12/05	\$10
ADP Payroll Fees	09/14/05	\$86
Returned Deposited Item Fee	09/16/05	\$10
Merchant Bnkcd Discount	09/21/05	\$264
(no check number given)	09/15/05	\$1,000
ADP Payroll Fees	09/28/05	\$78
Analysis Fee	10/03/05	\$105
Merchant Bnkcd Discount	10/05/05	\$281
Pitney Bowes Rental	10/05/05	\$77
Merchant Bnkcd Fee	10/05/05	\$28
Merchant Bnkcd Interchng	10/05/05	\$2
ADP Tx / Fincl Svc	10/13/05	\$909
ADP Tx / Fincl Svc	10/13/05	\$666
ADP Payroll Fees	10/19/05	\$89
American Express Collection	10/19/05	\$5
Insufficient Funds Service Fee	10/24/05	\$60

Exhibit VIII

New Yorkers for Fields

2005 Elections

Unreported Expenditures

(see Finding #8b)

<u>Check No. / Transaction</u>	<u>Date</u>	<u>Amount</u>
Insufficient Funds Service Fee	10/31/05	\$30
Interest-Uncollected Funds Overdraft	10/31/05	\$4
Analysis Fee	11/01/05	\$9
Merchant Bnkcd Fee	11/04/05	\$21
Insufficient Funds Service Fee	11/04/05	\$60
Interest-Uncollected Funds Overdraft	11/07/05	\$3
Insufficient Funds Service Fee	11/09/05	\$30
Insufficient Funds Service Fee	11/21/05	\$30
Insufficient Funds Service Fee	11/28/05	\$30
Insufficient Funds Service Fee	11/30/05	\$30
Analysis Fee	12/01/05	\$51
Insufficient Funds Service Fee	12/02/05	\$30
Insufficient Funds Service Fee	12/06/05	\$30
Insufficient Funds Service Fee	12/08/05	<u>\$30</u>
Total		<u>\$74,825</u>

Exhibit IX

Exhibit IX

New Yorkers for Fields

2005 Elections

Uncashed Checks / Transactions Not Appearing on Bank Statements

(see Finding #8c)

<u>Payee</u>	<u>Check No.</u>	<u>Stmt/Sch/TransID</u>	<u>Date</u>	<u>Amount</u>
One West 125th LLC	1047	3/F/R0002314	04/30/03	\$325
Sylvia's Celebration	1051	3/F/R0002322	04/30/03	\$375
Ridgewood Democratic Club	1065	3/F/R0002348	06/13/03	\$65
Shanahan, Thomos	1123	4/M/R0003766	11/03/03	\$200
Carlin, Matthew	1124	4/M/R0003768	11/05/03	\$10
Democratic National Committee	1215	5/F/R0004013	02/24/04	\$1,000
Verizon	1243	5/F/R0004266	04/05/04	\$64
The Friends of Joel Rivera for	1261	5/M/R0004212	04/28/04	\$250
La Magenette	1311	6/F/R0006007	07/12/04	\$1,250
Friends of Patricia McDow	none given	6/M/R0007429	11/01/04	\$20
Kev's Copy Center	1383	6/F/R0007441	11/08/04	\$400
Alonti Café Catering	1460	7/F/R0007972	02/23/05	\$109
Baer, Barbara A	1506	8/F/R0010400	03/18/05	\$148
Baer, Barbara A	1506	11/F/R0013285	03/18/05	\$148
Wilson, Milton E	1518	8/F/R0010425	04/05/05	\$250
Selver, Paul*	1588	8/M/R0010571	05/11/05	\$50
W New York	CC/13	13/F/R0014115	09/09/05	\$5,000
Marlene Tapper for City Council	1920	13/F/R0010438	09/12/05	\$2,000
Freeman, Theresa	1992	13/F/R0014430	09/13/05	\$1,000
SIAAPA	1990	13/F/R0014425	09/13/05	\$3,500
Dru Whitacre Media Services Ltd	debit	17/F/R0014731	09/14/05	\$908
Powers, Kirsten	1995	13/F/R0014439	09/14/05	\$204
The Committee to Elect Rodney	2026	13/F/R0014550	09/15/05	\$1,000
Verizon	2016	13/F/R0014529	09/15/05	\$201
			Total	<u>\$18,477</u>

*See also Finding #2.

Exhibit X

Exhibit X**New Yorkers for Fields****2005 Elections****Misreported Expenditures****(see Finding #8d)**

<u>Name</u>	<u>Stmnt</u>	<u>Sch</u>	<u>TransID</u>	<u>Check No.</u>	<u>Date</u>	<u>Amount Reported</u>	<u>Amount Per Bank Statement</u>	<u>Amount Under-Reported</u>
The Hunt Printing Co	3	F	R0002318	10449	05/06/03	\$2,160	\$2,190	(\$30)
Mackey, Latieffa	7	F	R0007915	1431	01/31/05	\$500	\$538	(\$38)

ADP Transactions

Clifford, Deborah	12	F	R0013724	5954	08/26/05	\$1,250		
Curry, Anthony	12	F	R0013728	5955	08/26/05	\$1,200		
Hackshaw, Rock	12	F	R0013726	5956	08/26/05	\$3,000		
Mackey, Latieffa	12	F	R0013730	5957	08/26/05	\$1,400		
Peeler-Allen, Kimberly	12	F	R0013732	5958	08/26/05	\$2,500		
Rodriguez, Carlos	12	F	R0013734	5959	08/26/05	\$2,442		
Simons, Earl G	12	F	R0013736	5960	08/26/05	\$3,550		
Smart, Daryl	12	F	R0013740	5961	08/26/05	\$1,200		
Smith, Luther A	12	F	R0013742	5962	08/26/05	\$3,739		
Subikowski, Sara E	12	F	R0013738	5963	08/26/05	\$400		
Young, Barbara	12	F	R0013744	5964	08/26/05	<u>\$1,400</u>		
						<u>\$22,081</u>	\$24,792	(\$2,711)

Clifford, Deborah	12	F	R0013695	9425	08/12/05	\$2,500		
Curry, Anthony	12	F	R0013698	9426	08/12/05	\$1,200		
Hackshaw, Rock	12	F	R0013700	9427	08/12/05	\$3,000		
Mackey, Latieffa	12	F	R0013702	9428	08/12/05	\$700		
Peeler-Allen, Kimberly	12	F	R0013704	9430	08/12/05	\$1,250		
Rodriguez, Carlos F	12	F	R0013708	9431	08/12/05	\$2,442		
Simons, Earl G	12	F	R0013712	9432	08/12/05	\$3,550		
Smart, Daryl	12	F	R0013718	9434	08/12/05	\$1,200		
Smith, Luther A	12	F	R0013720	9434	08/12/05	\$3,739		
Subikowski, Sara E	12	F	R0013715	8433	08/12/05	\$400		
Young, Barbara	12	F	R0013722	9436	08/12/05	<u>\$700</u>		
						<u>\$20,681</u>	\$23,489	(\$2,808)

Exhibit X

New Yorkers for Fields

2005 Elections

Misreported Expenditures

(see Finding #8d)

<u>Name</u>	<u>Stmnt</u>	<u>Sch</u>	<u>TransID</u>	<u>Check No.</u>	<u>Date</u>	<u>Amount</u>	<u>Amount</u>	<u>Amount</u>
						<u>Reported</u>	<u>Per Bank Statement</u>	<u>Under-Reported</u>
Clifford, Deborah	13	F	R0014588	71076	09/09/05	\$2,500		
Clifford, Deborah	13	F	R0014590	71077	09/09/05	\$1,250		
Curry, Anthony	13	F	R0014592	71078	09/09/05	\$1,200		
Hackshaw, Rock	13	F	R0014594	71079	09/09/05	\$1,500		
Mackey, Latieffa	13	F	R0014596	71080	09/09/05	\$1,400		
Peeler-Allen, Kimberly	13	F	R0014598	71081	09/09/05	\$2,500		
Rodriguez, Carlos F	13	F	R0014600	71082	09/09/05	\$2,442		
Simons, Earl G	13	F	R0014602	71083	09/09/05	\$3,550		
Smart, Daryl	13	F	R0014604	71084	09/09/05	\$1,200		
Smith, Luther A	13	F	R0014606	71085	09/09/05	\$3,739		
Young, Barbara	13	F	R0014608	71086	09/09/05	<u>\$1,400</u>		
						<u>\$22,681</u>	\$24,949	(\$2,268)
Clifford, Deborah	11	F	R0012980	254414	07/28/05	\$2,500		
Peeler-Allen, Kimberly	11	F	R0012988	254418	07/28/05	\$2,500		
Young, Barbara	11	F	R0012993	254420	07/28/05	<u>\$1,400</u>		
						<u>\$6,400</u>	\$7,200	(\$800)
Mackey, Latieffa	11	F	R0012982	2544415	08/02/05	\$700		
Margaronis, Chris	11	F	R0012984	2544416	08/02/05	\$400		
Moadel, Dan	11	F	R0012986	2544417	08/02/05	\$400		
Skubikowski, Sara E	11	F	R0012991	2544419	08/02/05	<u>\$800</u>		
						<u>\$2,300</u>	\$9,783	(\$7,483)
Clifford, Deborah	17	F	R0014630	277221	09/23/05	\$3,000		
Hackshaw, Rock	17	F	R0014632	277222	09/23/05	\$3,000		
Mackey, Latieffa	17	F	R0014634	277223	09/23/05	\$1,400		
Peeler-Allen, Kimberly	17	F	R0014636	27724	09/23/05	\$2,500		
Rodriguez, Carlos F	17	F	R0014638	27725	09/23/05	\$2,441		
Smith, Luther A	17	F	R0014640	27726	09/23/05	\$3,739		
Young, Barbara	17	F	R0014642	277227	09/23/05	<u>\$400</u>		
						<u>\$16,480</u>	\$19,034	(\$2,554)
Totals						<u>\$94,283</u>	<u>\$111,975</u>	<u>(\$17,692)</u>

Exhibit XI



A Mayor for All New Yorkers

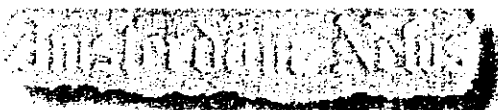
C. Virginia Fields

Democrat

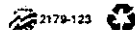
At age seventeen, she marched with Dr. Martin Luther King Jr. and spent six days in a Birmingham, Alabama, jail. She moved to New York, became a social worker, and was elected to the New York City Council. For the last eight years, she has served as Manhattan Borough President.

C. Virginia Fields is a strong voice for our neighborhoods and our priorities.

C. Virginia Fields is endorsed by



Paid for by New Yorkers for Fields, Milton E. Wilson, Treasurer



C. Virginia Fields

New York City Mayor



Sam Taitt

New York City Council
45th Council District



John L. Sampson

Kings County
District Attorney



Diana Johnson

Kings County
Surrogate Court Judge



Norman Siegel

Public Advocate of
New York City



Norma J. Jennings

Civil Court Judge
Kings County



Cynthia L. Boyce

Civil Court Judge
6th Municipal District

Vote Democrat

Vote on Tuesday, September 13th

Polls open from 6:00 am to 9:00 pm

FIE0506-B

Exhibit XII

Exhibit XII

New Yorkers for Fields

2005 Elections

Improperly Reported Advances

(see Finding #13)

<u>Advancer</u>	<u>Stmt</u>	<u>Sch</u>	<u>TransID</u>	<u>Reported Vendor</u>	<u>Date</u>	<u>Amount</u>
Fields, C Virginia	7	P	R0007983	Fields, C Virginia	02/01/05	\$580
Peeler-Allen, Kimberly	7	P	R0007979	Peeler-Allen, Kimberly	02/17/05	\$787
Young, Barbara	7	P	R0007957	Young, Barbara	02/17/05	\$58
Wilson, Milton E	7	P	R0008000	Wilson, Milton E	03/02/05	\$1,057
Cash,	8	P	R0010388	United States Postal Service	03/17/05	\$740
Baer, Barbara A	11	P	R0013284	Cash,	03/18/05	\$148
Cash,	8	P	R0010465	United States Postal Service	04/15/05	\$740
Seto, Chung C	12	P	R0013541	Cash,	08/11/05	\$1,104
Smith, Luther A	12	P	R0013537	Cash,	08/11/05	\$240
Hackshaw, Rock	12	P	R0013619	Cash,	08/18/05	\$175
Clifford, Deborah	12	P	R0013627	Cash,	08/23/05	\$83
Clifford, Deborah	12	P	R0013665	Cash,	08/26/05	\$116
Simons, Earl G	12	P	R0013667	Cash,	08/26/05	\$356
Peeler-Allen, Kimberly	13	P	R0014163	Peeler-Allen, Kimberly	09/02/05	\$173
Powers, Kirsten	13	P	R0014438	Powers, Kirsten	09/07/05	\$204
Seto, Chung C	13	P	R0014159	Seto, Chung C	09/08/05	\$402
Simons, Earl G	13	P	R0014172	Simons, Earl G	09/08/05	\$346
Boncoeur, Donald	13	P	R0014165	Boncoeur, Donald	09/09/05	\$324
Rodriguez, Carlos F	13	P	R0014436	Rodriguez, Carlos F	09/11/05	\$311
Boncoeur, Donald	13	P	R0014553	Boncoeur, Donald	09/12/05	\$452
Simons, Earl G	13	P	R0014526	Simons, Earl G	09/12/05	\$1,112
Hackshaw, Rock	13	P	R0014495	Hackshaw, Rock	09/15/05	\$671
Wilson, Milton E	17	P	R0014738	Cash,	10/07/05	\$1,440

Exhibit XIII

NEW YORKERS FOR FIELDS

Milton E. Wilson, Treasurer

INTERMEDIARY STATEMENT

Committee Name: New Yorkers for Fields

Intermediary's Name: Genaro Castellanos - #003

Home Address: _____

City/State/Zip: _____

Employer: GC Referral Services

Occupation: CEO

Business Address: _____

City/State/Zip: _____

	<u>Contributor's Name</u>	<u>Amount Contributed</u>
1.	Carlos Aguirre	\$250.00 - 8286
2.	Ileana Cortorreal	\$250.00 - 8290
3.	Mayra B. Pena	\$250.00 - 8300
4.	Juan Duarte	\$250.00 - 8282
5.	Monique Sanchez	\$250.00 - 8313
6.	Stephen Null	\$250.00 - 8304
7.	Maggie Donohue	\$250.00 - 8306
8.	Robert Bruneli	\$250.00 - 8312
9.	Arnold Osansky	\$250.00 - 8308
10.	Orlando Magrini	\$250.00 - 8310
11.	Huertas Solas	\$250.00 - 8284
12.	Mary Colon-Nelasco	\$250.00 - 8296
13.	Ely Santiago	\$250.00 - 8288
14.	Marcelino Sanchez	\$250.00 - 8301
15.	George Sanchez	\$250.00 - 8294
16.	Apicella & Schlesinger, Attorneys	\$250.00 - 8315
17.	Antonia Fernandez	\$250.00 - 8298
18.	Celeste Acosta	\$250.00 - 8303

Exhibit XIV

**New York City Campaign Finance Board
Campaign Finance Information System
Detail Payment Report for 2005 Primary Election**

Run: Payment Date:

Candidate: Fields, C. Virginia (ID: B6)

Office: Mayor

	Statement Date	Claimed Matchable	Invalid Claims	Gross Matchable	Payment
<u>Detail</u>					
	3 (07/15/2003)	29,525	550	28,975	
	4 (01/15/2004)	55,335	1,140	54,195	
	5 (07/15/2004)	76,455	3,215	73,240	
	6 (01/18/2005)	53,876	5,011	48,865	
	7 (03/15/2005)	12,795	110	12,685	
	8 (05/16/2005)	83,265	3,183	80,082	
	10 (07/15/2005)	45,773	1,150	44,623	
	11 (08/12/2005)	16,780	425	16,355	
	12 (09/02/2005)	12,159	2,685	9,474	
	13 (09/23/2005)	9,134	2,669	6,465	
	17 (01/17/2006)	550	50	500	
	Total:	395,647	20,188	375,459	
		395,647	20,188	375,459	
Matchable Adjustment:				- 93,155	
Adjusted Gross Matchable:				282,304	
<u>Regular Payment Calculation</u>					
Adjusted Gross Matchable:				282,304	
General Regular Matchable:				- 0	
Net Matchable: (Threshold Met)				282,304	
Matching Factor:			x 4		
EXTENDED NET REGULAR PAYABLE: (Limit: 3,150,400)				1,129,216	1,129,216
Total Previous Regular Payable:				- 1,466,556	
REGULAR PAYABLE:					(337,340)
MAX PAYABLE:					(337,340)
Net Withholding: (See Notes Below)				- 0	
Reserve Amount: (.00 %)				- 0	
Reserve Applied:				+ 0	
ADJUSTED AMOUNT ELIGIBLE:					(337,340)
Amount Payable:					0
Penalty Deduction:				- 0	
PAYMENT DUE:					0

New York City Campaign Finance Board
 Campaign Finance Information System
 Detail Payment Report for 2005 Primary Election

Run: Payment Date:

Candidate: Fields, C. Virginia (ID: B6)

Office: Mayor

Payment and Adjustment History for This Election

<u>Type</u>	<u>Transaction Date</u>	<u>Check No</u>	<u>Amount</u>	<u>Reserve Amount</u>
Previous Payment	08/04/2005		1,226,488	64,552
Previous Payment	08/18/2005		104,815	5,517
Previous Payment	09/09/2005		128,333	- 70,069
Total:			1,459,636	0

Net Withholding

Total Withholding:		6,920
Previous Withholding:	-	6,920
Previous Unapplied Withholding:	+	0
Net Withholding:		0

Notes

	Withholding
Withholding For Unresolved Contributions	(6920)
Matchable Adjustment For 5-01 (n)	

Over Payment Calculation

Adjusted Amount Eligible:		(337,340)
Returned Funds:	+	0
Outstanding Reserve:	+	0
Amount Overpaid:		(337,340)

Exhibit XV

Exhibit XV

New Yorkers for Fields

2005 Elections

Matchable Adjustments Pursuant to Board Rule 5-01(n)

(see Finding #15)

<u>Name</u>	<u>Stmnt</u>	<u>Sch</u>	<u>TransID</u>	<u>Invoice Date</u>	<u>Paid Date</u>	<u>Purpose</u>	<u>Amount</u>
<u>As Reported by Campaign</u>							
Audubon Reform Democratic Clu	3	F	R0002263	11/14/02	11/14/02	Pol. Contr.	\$250
Broadway Democrats	3	F	R0002326	05/04/03	05/15/03	Pol. Contr.	\$300
Bronx County Democratic Club	3	F	R0002230	06/24/02	06/24/02	Pol. Contr.	\$300
Community Free Democrats	3	F	R0002294	03/11/03	03/20/03	Pol. Contr.	\$175
Concerned Democratic Coalition	5	F	R0004316	05/11/04	05/11/04	Pol. Contr.	\$250
Democratic National Committee	5	F	R0004013	02/24/04	02/24/04	Pol. Contr.	\$1,000
Democratic Org of Queens Count	5	F	R0004043	02/26/04	02/26/04	Pol. Contr.	\$250
Democratic Org of Queens Count	7	F	R0007924	02/03/05	02/03/05	Pol. Contr.	\$250
Democratic Org of Queens Count	10	F	R0012033	05/19/05	05/19/05	Pol. Contr.	\$300
Eleanor Roosevelt Democratic	3	F	R0002301	04/02/03	04/11/03	Pol. Contr.	\$200
Eleanor Roosevelt Legacy Comm	4	F	R0003677	08/26/03	08/26/03	Pol. Contr.	\$150
Fred E Samuel Comm Demo Club	3	F	R0002330	05/16/03	05/16/03	Pol. Contr.	\$300
Friend of Catherine Nolan	3	F	R0002289	03/13/03	03/14/03	Pol. Contr.	\$50
Friends of Crystal Peoples	3	F	R0002241	08/13/02	08/13/02	Pol. Contr.	\$250
Friends of Joyce S. Johnson	3	F	R0002226	06/10/02	06/17/02	Pol. Contr.	\$250
Friends of Joyce S. Johnson	3	F	R0002251	09/10/02	09/10/02	Pol. Contr.	\$800
Harry S. Truman Democratic Clu	3	F	R0002303	04/11/03	04/11/03	Pol. Contr.	\$250
Lexington Democratic Club	3	F	R0002203	05/31/02	06/03/02	Pol. Contr.	\$200
Lexington Democratic Club	5	F	R0004281	04/26/04	04/26/04	Pol. Contr.	\$200
Martin L King Democratic Club,	3	F	R0002243	08/16/02	08/16/02	Pol. Contr.	\$300
Martin L King Democratic Club,	4	F	R0003672	08/14/03	08/14/03	Pol. Contr.	\$350
NY County Democratic Committee	4	F	R0003687	09/04/03	09/04/03	Pol. Contr.	\$150
Park River Independent Democ.	6	F	R0007402	08/25/04	09/07/04	Pol. Contr.	\$250
Queens County Democratic Club	3	F	R0002256	10/23/02	10/23/02	Pol. Contr.	\$250
Queens County Democratic Club	3	F	R0002284	02/28/03	02/28/03	Pol. Contr.	\$45
Queens County Democratic Club	3	F	R0002320	05/15/03	05/15/03	Pol. Contr.	\$300
Ridgewood Democratic Club	3	F	R0002348	06/13/03	06/13/03	Pol. Contr.	\$65
Samuel J. Tilden Democratic Cl	3	F	R0002223	06/09/02	06/17/02	Pol. Contr.	\$250
Samuel J. Tilden Democratic Cl	7	F	R0007905	01/25/05	01/25/05	Pol. Contr.	\$450
Staten Island Democratic Assoc	4	F	R0003696	09/09/03	09/09/03	Pol. Contr.	\$125
The Village Reform Democratic	3	F	R0002249	09/04/02	09/04/02	Pol. Contr.	\$150
The Village Reform Democratic	5	F	R0004287	04/26/04	04/26/04	Pol. Contr.	\$150

Exhibit XV

New Yorkers for Fields

2005 Elections

Matchable Adjustments Pursuant to Board Rule 5-01(n)

(see Finding #15)

<u>Name</u>	<u>Stmt</u>	<u>Sch</u>	<u>TransID</u>	<u>Invoice Date</u>	<u>Paid Date</u>	<u>Purpose</u>	<u>Amount</u>
The Village Reform Democratic	13	F	R0014568	09/02/05	09/02/05	Pol. Contr.	\$200
Three Parks Independent Democ.	3	F	R0002265	12/18/02	12/18/02	Pol. Contr.	\$250
Three Parks Independent Democ.	5	F	R0004313	05/11/04	05/11/04	Pol. Contr.	\$250
Three Parks Independent Democ.	8	F	R0010549	05/09/05	05/09/05	Pol. Contr.	\$250
United Democratic Organizatio	5	F	R0004283	04/26/04	04/26/04	Pol. Contr.	\$200
Village Independent Democrats	5	F	R0004279	04/05/04	04/26/04	Pol. Contr.	\$200
Village Independent Democrats	10	F	R0012350	05/12/05	06/28/05	Pol. Contr.	\$200
Womens Dem Exe Comm of Queens	7	F	R0007997	03/02/05	03/02/05	Pol. Contr.	<u>\$45</u>
							\$10,155
						Less Allowable Amount	<u>(\$10,000)</u>
							\$155
<u>Unreported Transfer</u>							
Transfer to Fields For New York	-	-	-	-	10/07/04	-	<u>\$93,000</u>
						Total Matchable Adjustment	<u>\$93,155</u>